

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 U.S.C. 1.53(b)

Attorney Docket No.	1002.0004
First Inventor	Nicolas John Dougill et al
Title	Method Of And Appartus, etc.
Express Mail Label No.	ET170404999US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See CFR 1.27.
3. ☒ Specification [Total Pages 271]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 5]
5. ☐ Oath or Declaration [Total Pages 1]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: fee transmittal, check for \$1764.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: PCT/GB00/01925  
 Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) OR ☒ Correspondence address below

NAME		Martin G. Linihan			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	Martin G. Linihan		Registration No. (Attorney/Agent)	24,926	
Signature	<i>Martin G. Linihan</i>		Date	November 9, 2001	

"Express Mail" Mailing Label Number ET170404999US

Date of Deposit November 9, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Martin G. Linihan  
Name

*Martin G. Linihan*  
Signature

BFLODOCS: 620050 v1

Applicants claim priority under 35 USC 119 based on United Kingdom patent application GB 9911942.0 filed May 19, 2000 and under 35 USC 120 based on International Patent Application PCT/GB00/01925 filed May 19, 2000

**FEE TRANSMITTAL**  
**for FY 2002**

Patent Fees are subject to annual revision.

Application Number

Filing Date

First Named Inventor

Examiner Name

Group/Art Unit

Attorney Docket Number

November 9, 2001

Nicolas John Dougill et al

18872.0004

US PTO  
10/037981  
11/09/01

TOTAL AMOUNT OF PAYMENT

(\$1764.00)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

## 3. ADDITIONAL FEES

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27.2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description Fee Paid

101 740 201 370 Utility filing fee \$740

106 330 206 165 Design filing fee \$

107 310 207 255 Plant filing fee \$

108 740 208 370 Reissue filing fee \$

114 160 214 80 Provisional filing fee \$

SUBTOTAL (1)

2. EXTRA CLAIM FEES

Extra Fee from  
Claims below

Total Claims /38 / -20\*\* = /18 / x /18 / =

Independent Claims /8 / -3\*\* = /5 / x /84 / =

Multiple dependent / / x / / =

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim if not paid

109 84 209 42 \*\*Reissue independent claims over original patent

110 18 210 9 \*\*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$1024

SIGNATURE: *Martin G. Linihan*

Martin G. Linihan

Reg. No. 24,926

DATE: November 9, 2001

Telephone: (716) 848-1367

\*Reduced by basic filing fee paid

SUBTOTAL (3)

\$0

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Martin G. Linihan  
Name

Signature

November 9, 2001  
Date of Signature

BFLODOCS: 620031)